### **Assurity**

# Filing an Assurity Disability Income Claim

Disability income insurance provides a benefit when an insured person qualifies for disability as defined in the contract for a covered condition.

This document lists the forms and evidence required for submission of a claim for benefits. Additional information may be necessary to determine benefit eligibility and may require Assurity to order medical records. The required forms listed below can be accessed in the Customer Center on assurity.com, in the policy owner's MyAssurity secure account, or by contacting Assurity's Claims Department at 800-869-0355 Ext. 4484. If the claim is for a spouse or a child 18 years of age or older, the claim will require submission by fax, email or mail.

Proof of Claim may be required within 12 months of the time of loss. Assurity administers many different plans of insurance. Your policy may not include all of the benefits detailed below. Please consult your contract for specific benefits, definitions, provisions, limitations and exclusions.

#### Information Needed/Required Proof for Claim

- 1) Claimant Statement form #01-012-02255F to be completed by the claimant. This form can be securely filed electronically in the policy owner's MyAssurity account. If preferred, this form may also be printed and sent to Assurity by fax, email or mail; and
- 2) Attending Physician's Statement form #01-014-02255F You will need to print this form and have the attending physician complete it; the completed form may be sent to Assurity by fax, email or mail; **and**
- 3) Employer Statement form #01-013-02255F You will need to print this form and have your employer complete it; the completed form may be sent to Assurity by fax, email or mail; **and**
- 4) Confidential Information Authorization form # 75-500-05055 to be completed by claimant. This form can be securely filed electronically in the policy owner's MyAssurity account. If preferred, this form may also be printed and sent to Assurity by fax, email or mail.

#### **Additional Rider Benefits**

The riders listed below are available for some Assurity Disability Income products, but are not necessarily a part of your contract. Please review your contract to verify any riders you may have selected.

Potential Benefit	Information Needed/Required Proof for Claim
Supplemental Disability Income Rider	The disability income claim forms listed above will be used to determine benefits for this rider. Additional information regarding social insurance coverage may be needed.
Spouse Accident-only Disability Income Rider	If your spouse wishes to file a claim for Spouse Disability Income Rider benefits, the Disability Income claim forms listed above should be completed by your spouse, your spouse's physician and your spouse's employer. Your spouse must also sign the Authorization form.

If your contract includes benefits not described in this document or you have questions, please contact Assurity's Claims Department.

800-869-0355 Ext. 4484 claimsinfo@assurity.com

Assurity is a marketing name for the mutual holding company Assurity Group, Inc. and its subsidiaries. Those subsidiaries include but are not limited to: Assurity Life Insurance Company and Assurity Life Insurance Company in all states except New York. In New York, insurance products and services are offered by Assurity Life Insurance Company in all states except New York. In New York, insurance products and services are offered by Assurity Life Insurance Company of New York, Albany, New York. Product availability, features and rates may vary by state.



## **Assurity**<sup>®</sup> **Life Insurance Company**Post Office Box 82533, Lincoln, NE 68501-2533 402-476-6500 | 800-276-7619 | FAX 800-869-0368

### Disability Claim Form CLAIMANT STATEMENT

Please provide full and complete responses, indicating "none" where applicable. If more space is needed, please attach another sheet. Incomplete information may delay claim. If this claim is on a Spouse Accident Only Disability Rider (W215), check here  $\square$ .

Na	me First		Middle	Las	st	Policy no.			
Add	Street address dress				City		State		Zip code +4
Pho	one no. ( )		Social Securi	ty no.		Date of birth	MM/DD/YY	/YY /	☐ Male ☐ Female
Section I	1. Accident Illness 4. Have you returned to work? 5. If injured, how and where di	' ☐ Yes	□ No I	f YES, when?	oegan/ work, please provid		3. Date las		/ /
S	6. If illness, what is the nature 7. Have you filed or will you file				s 🗌 No	8. Are premi	ums paid p	ore-tax?	] Yes 🔲 No
	Please provide the names a of consultation. All physician's Name				ility must complet				
	Phone no.	Fax	no.	Complete radic	First visit	Last visit			sian's statement provided?
	( )	(	)		/ /	/	/	0/ /	☐ Yes ☐ No
	Physician's Name			Complete Addre	988	City		State	Zip code +4
	Phone no.	Fax	no.		First visit	Last visit	,	Physic	ian's statement provided?  Yes No
ll II	List the name and complete diagnostic measures) during the diagnostic measures and complete diagnostic measures.							are or service	
Section	Name of hospital/clinic	<u> </u>	Complete address (include city, state and zip code)					Date(s) confined	
Se									
	3 List all prescription drugs to	aken for all	reasons during	the last five yea	re If additional ena	nce is needed	attach a c	onarato che	et of nanor
	List all prescription drugs to     Name of drug or medicine		scription no.	the last live yea	Pharmacy		ate used		escribing physician
						/	/		
						/	/		
	4. Please provide the comple	te address		·		•	ded, attach		
	Name of pharmacy		Comple	te address (includ	e city, state and zip o	code)		Phone/Fa	x no. (include area code)
									1
	4.51	\	P 1996 2	/ \					1
<b>II</b> (	Please provide the name(s     Name of disability carrier	) of all your			ete addresses and state and zip code)	your policy n		ne no.	Policy/Med. record no.
Section III	. Idania a. diadaning damai		25pioto add		Ziji dina Zip oodo)				. 55/54. 100514 110.
Se									
							l		l

Continue to page 2 of this form.

Po	licy/Certificate no.(s)		Claimant's Name				
	Check if you are receiving or are eligible to receive	e benefits from any of the fo	lowing sources:				
	☐ Salary, wages or commissions ☐ Re	tirement or pension plan	☐ Railroad Retirement ac	t 🔲 Workers' C	Compensation		
	☐ State Disability ☐ So	cial Security Disability	☐ Social Security Retirem	nent 🔲 Other sour	ces		
≥	For each source marked above, please provide us	s with the following informati	on:				
ection IV	Source	Income benefit amount	Income benefit frequency	Date Application Filed	Benefit Effective Date		
Sect				/ /	/ /		
0,				/ /			
					/ /		
	Provide documentation of any source indicate	ted above, i.e., award noti	ce, denial notices or applica				
	Job title		Employer				
	Business Address			Phone no. (	)		
	Earnings: Annual Monthly Ho						
	Average number of hours worked per week		Time employed with this e	employer			
	Please list your normal duties below in order of	importance. (Attach secon	d sheet if additional space is ı	• ,			
	Duty		Description	Pe	rcent of time spent		
> u							
ection							
Sec	What percentage of your time is spent on:	Heavy labor%	Light labor%	Administration _	<u>%_</u>		
		Travel%_	Supervisory%	_ Clerical _	%_		
	2. What are the physical requirements of this job	?					
	3. Do you have any other occupations?	s No If YES, des	scribe				
	4. Please list all job duties you are unable to perfo	orm due to your disability					

#### **FRAUD NOTICES**

Unless specific state language is provided below for your state of residence, the following general fraud notice applies.

Any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and shall also be subject to a substantial civil penalty where and to the extent allowed by state law.

AL RESIDENTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit, or who knowingly presents false information in an application for insurance, is guilty of a crime and may be subject to restitution fines or confinement in prison, or any combination thereof.

AR, DC, LA, MA, RI RESIDENTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit, or knowingly presents false information in an application for insurance, is guilty of a crime and may be subject to fines and confinement in prison.

AZ RESIDENTS: For your protection, Arizona law requires the following statement to appear on this form: Any person who knowingly presents a false or fraudulent claim for payment of a loss is subject to criminal and civil penalties.

CA RESIDENTS: For your protection, California law requires the following to appear on this form: Any person who knowingly presents false or fraudulent information to obtain or amend insurance coverage or to make a claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

Continue to page 3 of this form.

#### FRAUD NOTICES (continued)

CO RESIDENTS: It is unlawful to knowingly provide false, incomplete or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

FL RESIDENTS: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, files a statement of claim or an application containing any false, incomplete or misleading information is guilty of a felony of the third degree.

**IL RESIDENTS:** Any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing fraud or intentional misstatements of material fact commits a fraudulent insurance act, which is a crime and subject to a substantial civil penalty where and to the extent allowed by state law.

KS RESIDENTS: Any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which may be a crime as determined by a court of law and shall also be subject to a substantial civil penalty where and to the extent allowed by state law.

**KY RESIDENTS:** Any person who knowingly and with intent to defraud any insurance company or other person, files a statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

**MD RESIDENTS:** Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit, or who knowingly or willfully presents false information in an application for insurance, is guilty of a crime and may be subject to fines and confinement in prison.

ME, TN, WA RESIDENTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or a denial of insurance benefits.

MN RESIDENTS: A person who files a claim with intent to defraud or helps commit a fraud against an insurer is guilty of a crime.

**NC RESIDENTS:** Any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and may also be subject to a substantial civil penalty where and to the extent allowed by state law.

**NH RESIDENTS:** Any person who, with a purpose to injure, defraud or deceive any insurance company, files a statement of claim containing any false, incomplete or misleading information, is subject to prosecution and punishment for insurance fraud, as provided in RSA 638:20.

NJ RESIDENTS: Any person who knowingly files a statement of claim containing any false or misleading information is subject to criminal and civil penalties.

**NM RESIDENTS:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit, or knowingly presents false information in an application for insurance, is guilty of a crime and may be subject to civil fines and criminal penalties.

**NY RESIDENTS:** Any person who knowingly and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

**OH RESIDENTS:** Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

**OK RESIDENTS:** WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

**OR RESIDENTS:** Any person who knowingly and with intent to defraud an insurance company or any other person presents a false claim for payment of a loss or benefit may be guilty of insurance fraud and subject to civil fines and criminal penalties. If such misinformation is material to the content of the contract, relied upon by the insurer and either material to the risk assumed by the insurer or provided fraudulently, such action may also lead to denial of insurance benefits.

**PA RESIDENTS:** Any person who knowingly and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

VA RESIDENTS: Any person who, with the intent to defraud or knowing that they are facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement may have violated state law.

VT RESIDENTS: Any person who knowingly presents a false statement in an application for insurance may be guilty of a criminal offense and subject to penalties under state law.

I hereby acknowledge that I have read the applicable fraud notice above.

Date (MM/DD/YYYY)	Signature of claimant or legal representative	Printed name of person completing this form

I hereby certify the statements contained in this claim form are complete and accurate to the best of my knowledge.



### **Assurity**<sup>®</sup> **Life Insurance Company**Post Office Box 82533, Lincoln, NE 68501-2533 800-869-0355, Ext. 4484 | FAX 800-869-0368

Disability Claim Form ATTENDING PHYSICIAN'S STATEMENT

This form should be completed by all physicians who were treating the claimant during the time of disability. The patient is responsible for the completion of this form without expense to Assurity Life. Please print or type. If necessary add a separate sheet. Direct any questions to our claims department at the phone numbers shown above.

A. General Information					
Patient's Name (First , Middle, Last)	F	Policy No.	D	ate of Birth	(MM/DD/YYYY)
				1	1
Primary Diagnosis including ICD 9 or DSM Code			<u> </u>		·
B. Complete this section for all conditions					
Symptoms					
Objective Findings					
Are there secondary conditions contributing to the patient's in	nability to work?	s 🗌 No If YES,	what are they?		
,					
When did symptoms first appear?	Date of patient's first vis	it (MM/DD/VVV)	Date of the patien	t'e last visit	- (MM/DD/VVVV)
when did symptoms mist appear:	Date of patient's mot vis	it (WilWilDD/1111)	Date of the patient	to last visit	(IVIIVI/DD/TTTT)
How often do you treat/consult the patient?	<u> </u>	Date you believe the p	atient was first un	able to worl	k (MM/DD/YYYY)
The state of the s		2 4.0 / 04 20 0 10			. (
Was patient referred to you? Referring physician's name	Street address	I	ty	State	Zip+4
Yes □ No					
Is the patient's condition work related?  Yes No	If YES, please explain	n:			
Has the patient undergone surgery? ☐ Yes ☐ No	If YES, please give date	procedure and result			
The the patent and gene eargely.	ii 120, piodoo giro dato	o, procodure arra rocare			
If no do you expect surgery to be performed in the future?	☐ Yes ☐ No I	f VEC places give det	a and two of our		
If no, do you expect surgery to be performed in the future?	☐ Yes ☐ No I	f YES, please give dat	e and type of surg	jery.	
What medications is the patient currently taking? (Please list	frequency and dosages.)				
Please indicate other types and frequencies of treatment:					
Has the patient been referred to a medical rehabilitation or the	nerapy program?	s 🗌 No If YE	S, please give de	tails:	
Have you referred the patient for other types of consultations	?	If YES, please give	details:		
g,		3,1, 1111 3			
Heatha nationt has a bassital confined 2 Ves. No.	If YES, complete the f	following			
Has the patient been hospital confined? Yes No  Name of hospital Street addre	' '	City	State	7:	ip+4
Name of nospital	700	Ony	State	21	ριτ
MM/DD/YYYY MM/DD/YY					
Confined: / / through / /	Admission	time	Dismissal tir	ne	
tillough					<del></del> -

Continue to page 2 of this form.

Policy/Certificate no.(s)			Claii	mant's Name			
Indicate class of mental impairment (if app	,			Class 2-Slight Class 5-Severe		Class 3-M	oderate limitation
What is the patient's current DSM-IV-R dia	gnosis? 🗌 Ax	kis I			☐ Axis II _		
☐ Axis III	_	kis IV			☐ Axis V _		
Do you believe this patient is competent to	endorse checks	/direct the use	of proceeds	? 🗌 Yes 🔲	No		
C. Complete this section for pregnancy							
Date of the last menstrual period /	DD/YYYY 	First date of tre	eatment	MM/DD/YYYY / /	Expected du		MM/DD/YYYY / /
Date of delivery / / (MM	1/DD/YYYY)	This delivery is	s expected to	be or was: 🔲 \	/aginal	Section	
Are there any present complications or ant a. Pregnancy Yes No  If YES, to any of the above, please spec	b. Deliv	/ery ☐ Yes	☐ No		t partum 🔲 Y		
D. Information about the patient's inability to Briefly describe restrictions (What the patie	•		all condition	ıs.			
briefly describe restrictions (what the patie	IN SHOOLD NO	<i>1 do)</i> .					
Briefly describe limitations (What the patient	nt CANNOT do):						
When was/is the patient able to return to wo	rk? Full-time	1 1	(MM/DD/Y	YYY) Part-tii	me <u>/ /</u>	(MM/L	DD/YYYY)
Does the patient's condition prevent being				·	•	•	
How soon do you expect fundamental	changes in the p	patient's medic	al condition	?	☐ 3-4 mos.	☐ 5-6 mos	
Give details concerning expected improver	nent or deteriora	tion:					
Additional remarks:							
E. Physician Information							
Attending physician, pleas	se print						
Physician's name					Degree		
Phone no. ( ) Street address	Fax no. (	)	City	Specialty	State		Zip+4
Physician's address			City		State		<i>Σιμ</i> +4
F. Fraud Notices							
Any person who knowingly, and with intent to any materially false information, or conceals which is a crime and shall also be subject to	o defraud any ins for the purpose o a substantial civi	urance compar of misleading, ir il penalty where	ny or other pentor oformation controller and to the e	erson, files an appl ncerning any fact extent allowed by s	ication for insurar material thereto, o state law.	nce or stateme commits a frau	udulent insurance act,
AL RESIDENTS: Any person who knowingl in an application for insurance, is guilty of a							

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Continue to page 3 of this form.

#### F. Fraud Notices (continued)

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I hereby acknowledge that I have read the applicable fraud notice above.						
hereby certify the statements above are complete and accurate to the best of my knowledge.						
Physician's Signature (no stamp)	Date (MM/DD/YYYY)	TIN or Social Security No.				



## **Assurity**<sup>®</sup> **Life Insurance Company**Post Office Box 82533, Lincoln, NE 68501-2533 800-869-0355, Ext. 4484 | FAX 800-869-0368

Disability Claim Form EMPLOYER STATEMENT

To be completed by employer. Please print or type. If necessary, add separate sheet.

Direct any questions to our claims department at the phone numbers and address shown above.

Employer name		Policy/Certification	ate no.(s)	
Street address Employer address	City	1	State	Zip code + 4
Name of Employee	Middle L	.ast	Date employed //	/
Occupation		Employee's first pa	ayroll deduction  MM/DD/YYY	
Employee's primary job duties  Attach written job description	ription if available			
1. Reason for stopping work: Dismissal/Termina	ation	□ Illness	☐ Accident	
☐ Resignation	Retirement	☐ Layoff		
If dismissed/terminated, date employment ceased	d	Date insurance t	erminated / /	<u>'</u>
2. If disabled, date last worked/ /	Work schedule at that time:	Days per week	Hours per day	_
If YES, or under dispute, please provide us with the  Has employee filed for Workers' Compensation by		no. of Workers' Cor	npensation administrator.	
Was employee covered under your prior disability     Effective date/ / Terming				
5. Has the employee been offered Short-term Disab	oility (STD) or Long-term Disability (LTE	,	es □ No	
11 120, provide name of carrier				
	No ☐ Full-time return date ☐ Part-time return date	/ /	Hours per wee	k
	☐ Part-time return date		Hours per wee	k
5. Has employee returned to work?  Yes  I	☐ Part-time return date		Hours per wee	k
Will you provide "light duty" if employee is released.	☐ Part-time return date  ed with restrictions? ☐ Yes ☐ No  te return to work date/	/	Hours per wee	
Will you provide "light duty" if employee is released.	☐ Part-time return date  ed with restrictions? ☐ Yes ☐ No  te return to work date/  y wage \$	/ Monthly commission		

IMPORTANT: Pages 2 and 3 must be completed and submitted with page 1.

☐ Salary continuance	Amount \$	per	From/	/	to	/ /
☐ Short-term Disability (STD)	Amount \$	per	From/	/	to	/ /
☐ Long-term Disability <i>(LTD)</i>	Amount \$	per	From/	/	to	/ /
☐ Workers' Compensation	Amount \$	per	From/	/	to	/ /
Retirement or pension	Amount \$	per	From/	/	to	/ /
<b></b>	Lump sum distribution	n? 🗌 Yes 🔲 No				
emarks						

Claimant's Name

#### **FRAUD NOTICES**

Policy/Certificate no.(s)

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CO RESIDENTS: It is unlawful to knowingly provide false, incomplete or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

FL RESIDENTS: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, files a statement of claim or an application containing any false, incomplete or misleading information is guilty of a felony of the third degree.

**IL RESIDENTS:** Any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing fraud or intentional misstatements of material fact commits a fraudulent insurance act, which is a crime and subject to a substantial civil penalty where and to the extent allowed by state law.

KS RESIDENTS: Any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which may be a crime as determined by a court of law and shall also be subject to a substantial civil penalty where and to the extent allowed by state law.

**KY RESIDENTS:** Any person who knowingly and with intent to defraud any insurance company or other person, files a statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

Continue to page 3 of this form.

#### FRAUD NOTICES (continued)

**MD RESIDENTS:** Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit, or who knowingly or willfully presents false information in an application for insurance, is guilty of a crime and may be subject to fines and confinement in prison.

ME, TN, WA RESIDENTS: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or a denial of insurance benefits.

MN RESIDENTS: A person who files a claim with intent to defraud or helps commit a fraud against an insurer is guilty of a crime.

**NC RESIDENTS:** Any person who knowingly, and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and may also be subject to a substantial civil penalty where and to the extent allowed by state law.

**NH RESIDENTS:** Any person who, with a purpose to injure, defraud or deceive any insurance company, files a statement of claim containing any false, incomplete or misleading information, is subject to prosecution and punishment for insurance fraud, as provided in RSA 638:20.

NJ RESIDENTS: Any person who knowingly files a statement of claim containing any false or misleading information is subject to criminal and civil penalties.

**NM RESIDENTS:** Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit, or knowingly presents false information in an application for insurance, is guilty of a crime and may be subject to civil fines and criminal penalties.

**NY RESIDENTS:** Any person who knowingly and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

**OH RESIDENTS:** Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

**OK RESIDENTS:** WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

**OR RESIDENTS:** Any person who knowingly and with intent to defraud an insurance company or any other person presents a false claim for payment of a loss or benefit may be guilty of insurance fraud and subject to civil fines and criminal penalties. If such misinformation is material to the content of the contract, relied upon by the insurer and either material to the risk assumed by the insurer or provided fraudulently, such action may also lead to denial of insurance benefits.

**PA RESIDENTS:** Any person who knowingly and with intent to defraud any insurance company or other person, files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

VA RESIDENTS: Any person who, with the intent to defraud or knowing that they are facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement may have violated state law.

VT RESIDENTS: Any person who knowingly presents a false statement in an application for insurance may be guilty of a criminal offense and subject to penalties under state law.

I hereby acknowledge that I have read the applicable fraud notice above.

I hereby certify the statements contained in this claim form are complete and accurate to the best of my knowledge.

Signe	d at _		on	1
	City	State		Date (MM/DD/YYYY)
	Employer Authorized Representativ	re's Signature		Representative's Printed Name and Title
(	) / (	)		
	Office Phone no. and Fax no. (please in	nclude area code)		Office E-mail Address



#### **Assurity**<sup>®</sup> Life Insurance Company Post Office Box 82533, Lincoln, NE 68501-2533

Confidential Information Authorization

Legal Name of Applicant/Insured/Claimant (Please print)  Legal Name of Additional Applicant/Insured/Claimant (Please print)		/ / late of Birth (MM/DD/YYYY)
		ate of Birth (MM/DD/YYYY)
Legal Name of Additional Applicant/Insured/Claimant (Please print)		
Legal Name of Additional Applicant/Insured/Claimant (Please print)		1 1
	D	Pate of Birth (MM/DD/YYYY)
licant/Insured/Claimant: List child(ren) and date(s) of birth		
Legal Name Date of Birth	Legal Name	Date of Birth
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nalf of myself or the person named above (Individual), hereby authorize any licer or medically related facility, insurance company, MIB LLC, or other organization,		
y health, to give to Assurity Life Insurance Company (Assurity), or its reinsurers,		
formation as to diagnosis, treatment and prognosis pertaining to medical history,	mental or physical condition,	pharmacy and/or prescription
ug records, or treatment and information pertaining to mode of living (except as	may be related directly or inc	directly to sexual orientation
ccupation, finances, avocations and other characteristics.		
formation on the diagnosis or treatment of human immunodeficiency virus (HIV)	infection and sexually transmit	tted diseases.
formation on diagnosis and treatment for alcohol, drug and tobacco use, and menta edication prescription and monitoring, counseling sessions (start and stop times), t		

- of clinical tests and any summary of the following items: diagnosis, functional status, treatment plan, symptoms, prognosis and progress to date.
- Information provided on applications to obtain driving records and credit information. The records obtained will be used to determine eligibility for insurance, including additional coverage to an existing policy. I authorize the release of any information contained in credit reports and driving records, including but not limited to information on motor vehicle accidents and/or violations.
- Financial records and information.

I understand that this information may be released by Assurity and/or its reinsurers to their consulting physicians, their attorneys, MIB LLC and to other insurance companies with which the Individual has policies or to whom applications may be made, or to whom claims for benefits have been made or may be submitted. By this authorization, I further authorize Assurity, or its reinsurers, to make a brief report of my personal health information to MIB LLC.

By my signature below, I acknowledge that any agreements I have made to restrict protected health information of the Individual do not apply to this authorization, and I instruct any licensed physician, medical practitioner, hospital, clinic, pharmacy or pharmacy benefit manager, records custodians, other medical or medically related facility, insurance or reinsurance company, MIB LLC, consumer reporting agency, clearinghouse, employer or other organization or person that has any records or knowledge of the Individual or their health, to release and disclose the Individual's entire medical record as described above without restriction. The medical information so acquired will be used to determine eligibility for insurance, including additional coverage to an existing policy and/or eligibility for benefits under a policy. I understand that this information may be subject to redisclosure by Assurity and may no longer be protected by the federal rules governing privacy of health information, and that this information may only be redisclosed in accordance with other applicable laws or regulations.

I further agree to execute additional documents that may be necessary to permit Assurity to obtain medical and/or financial information relevant to my application for insurance or claim for benefits, including, but not limited to, federal and/or state tax records and Social Security Administration records.

This authorization is valid for twenty-four (24) months from the date of signature below (authorization to disclose HIV-related information is valid for 180 days from the date of the signature below), for collecting information in connection with an application for an insurance policy, policy reinstatement or claim. A copy of this authorization is as valid as the original. I understand that I, or my authorized representative, will receive a copy of this authorization if requested. I understand that I have the right to revoke this authorization at any time by providing written notice to Assurity. I understand that a revocation is not effective to the extent that action has been taken in reliance on this authorization. I further understand that if I refuse to sign this authorization,

Assurity may not be able to process this application, or if coverage has been issued, may not be able to make any benefit payments. This authorization complies with the Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule. Date (MM/DD/YYYY) Signature of Applicant/Insured/Claimant, Legal Representative or Parent of Child(ren) under age 18 Signature of Additional Applicant/Insured/Claimant or Legal Representative Signature of Applicant/Insured/Claimant Child (if age 18 or older) Description of Legal Representative's Authority for Applicant/Insured/Claimant (please indicate which Individual is represented) ORIGINAL TO HOME OFFICE, COPY TO BE LEFT WITH APPLICANT

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